Differentiation of Edematous, Tumoral and Normal Areas of Brain Using Diffusion Tensor and Neurite Orientation Dispersion and Density Imaging

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ABSTRACT

Background: Presurigical planning for glioma tumor resection and radiotherapy treatment require proper delineation of tumoral and peritumoral areas of brain. Diffusion tensor imaging (DTI) is the most common mathematical model applied for diffusion weighted MRI data. Neurite orientation dispersion and density imaging (NODDI) is another mathematical model for DWI data modeling.

Objective: We studied whether extracted parameters of DTI, and NODDI models can be used to differentiate between edematous, tumoral, and normal areas in brain white matter (WM).

Material and Methods: 12 patients with peritumoral edema underwent 3T multi-shell diffusion imaging with b-values of 1000 and 2000 smm-2 in 30 and 64 gradient directions, respectively. We fitted DTI and NODDI to data in manually drawn regions of interest and used their derived parameters to characterize edematous, tumoral and normal brain areas.

Results: We found that DTI parameters fractional anisotropy (FA), mean diffusivity (MD), axial diffusivity (AD) and radial diffusivity (RD) all significantly differentiated edematous from contralateral normal brain WM (p<0.005). However, only FA was found to distinguish between edematous WM fibers and tumor invaded fibers (p = 0.001). Among NODDI parameters, the intracellular volume fraction (ficvf) had the best distinguishing power with (p = 0.001) compared with the isotropic volume fraction (fiso), the orientation dispersion index (odi), and the concentration parameter of Watson distribution (κ), while comparing fibers inside normal, tumoral, and edematous areas.

Conclusion: The combination of two diffusion based methods, i.e. DTI and NODDI parameters can distinguish and characterize WM fibers involved in edematus, tumoral, and normal brain areas with reasonable confidence. Further studies will be required to improve the detectability of WM fibers inside the solid tumor if they hypothetically exist in tumoral parenchyma.

Keywords

MRI, NODDI, Diffusion Tensor Imaging, Edema, Brain Tumor

Introduction

Diffusion Magnetic resonance imaging is a unique noninvasive method for in vivo measuring mobility and diffusivity of water molecules [1]. This imaging modality can be used to estimate the micro-structural geometry and the shape of brain white matter indirectly [2, 3]. Application of Apparent Diffusion Coefficient (ADC), as the most common parameter extracted from Diffusion-Weighted (DW) *Corresponding author: M. A. Oghabian Biomedical Engineering and Medical Physics group, Faculty of Medicine, Tehran University of Medical Sciences, Tehran, Iran E-mail: Oghabian@sina. tums.ac.ir

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images, in different clinical domains such as neuro-oncology and central nervous system (CNS) surgery is increasing [4-6]. It has been shown that there is a negative correlation between ADC quantities and cellularity of tumors [7].

Among many extractable parameters from DWI models, ADC and its relation with two different types of diffusion in tumoral areas, i.e. restricted and hindered diffusion, have been explored in many studies [8]. Indeed, an increase in cellularity causes more restricted diffusion, which is likely to reduce ADC compared to normal WM. On the other hand, the role of edema and necrosis and their partial volume effects should not be neglected [9, 10]. Hindered diffusion of extracellular water molecules elevates the ADC in tumoral areas so that those regions could not be distinguished from normal apparent white matter.

Fractional anisotropy (FA) is the most known parameter of diffusion tensor imaging (DTI) models and it is used as a stopping criterion in deterministic tractography algorithms for 3D reconstruction of brain white matter bundles [11]. Application of tractography results for presurgical planning, tumor resection and navigation surgery of the brain is increasing [12-14]. A reduction of FA parameter in peritumoral areas of brain is a challenging problem [15]. To find a proper FA value as the lowest threshold for tractography algorithms, it is necessary to prospect how different tissue types, tumoral, normal apparent ,and peritumoral white matters of brain are separable.

In recent years, the application of neurite orientation dispersion and density imaging (NODDI) model as a multi-compartment model for study of microstructures of brain tissue in the research domain as well as for the clinical use is progressive [16-18]. NODDI model by differentiating intra- and extracellular water molecules's roles in the diffusion process within the nervous fiber boundless and considering an isotropic term for modeling of free water diffusion presents a more proper and sensible model ,and physically interpretable parameters. Multi b-value imaging (multishell-DWI) is a prerequisite condition for applying NODDI model [16].

Tumoral tissues could affect white matted fiber bundles in different ways: displacement. disorientation and disruption and/or invading, and beside each mentioned alterations, edematous areas with significantly reduced FA could be developed around tumors. Some studies have explored the effect of edema on the white matter fiber bundles [19]. One of the oldest classifications for different types of edema was done by Klatzo[20]. According to definition, edema is the result of accumulation of water or related fluids in tissue which leads to increasing the volume of brain parenchyma in that area. The effects of two most famous types of edema, cytotoxic and vasogenic edema, on biochemical and biomechanical characteristics of brain parenchyma have been studied in [21]. Indeed, when encountering with glioma tumors, especially high grade gliomas, damage to Blood Brain Barrier (BBB) increases the water (protein plasma) in extracellular spaces, consequently the diffusion behavior of water molecules will be changed. It is important to differentiate between the white matter fiber tracts in tumoral and edematous areas, in order to plane a safe and efficient surgery; the determination of a border for them is clear because it can affect presurgical plane also radiotherapy treatment planning.

In this study, we will explore differentiability of three different brain tissues, edematous, normal and tumoral areas of brain in glioma patients based on DWI signals applying DTI and NODDI models for the purpose diffusion MRI from 12 patients with glioma tumor acquired, preprocessed and analyzed. Details for data processing and statistical analysis will be explained in the next sections.

Material and Methods

According to schematic depicted in Figure 1, after data acquisition and preprocessing of



DWI raw data, DTI model was applied on the data. ROI selection was based on conventional MR images, thus before drawing ROIs, structural images were registered on non-diffusion weighted DW image (b0). Next, mean values of parameters FA, MD, AD and RD extracted from DTI model in three regions of interests (ROI) related to edematous, normal and tumoral areas, were calculated. ROIs were drawn within the tumoral area, and special parts of white matter in peritumoral and contralateral normal areas. In the next step, NOD-DI model was fitted on DWI signals and its corresponding parameters f_{icvl} , f_{iso} , odi and κ in the predetermined ROIs determined. After averaging extracted parameters within all ROIs for each patient, their differentiability, as three different groups, was assessed through proper

statistical tests.

Patients

This retrospective patient study was approved by the institutional review board of Tehran University of Medical Sciences.12 Glioma patients, (average age: 37.8±13.5, male/female: 8/4), underwent to a 3 Tesla MRI scanner. All data acquisition was done at Imaging Center of Imam Khomeini complex. Before using patient's data, all images were anonymized, and selected for further diffusion analysis. Further patient details are in Table 1.

Data Acquisition

MR data acquisition was performed using a 3-T clinical whole-body scanner (TrioTim; Siemens Medical Solutions, Erlangen, Ger-

 Table 1: Demographic and clinical characteristics of the patients in this study (GBM: GlioBlastoma Multiform, LGG: Low Grade Glioma, HGG: High Grade Glioma).

Patient No.	Age (years)	Sex	Diagnosis	Location of Lesion
1	30	Male	GBM	Frontal lobe
2	44	Female	HGG	Frontal fossa
3	51	Female	GBM	Temporo Occipital
4	32	Male	GBM	Left Parietal
5	60	Male	GBM	Left FrontoTemporal
6	11	Female	Astrocytoma	Left Parietal
7	29	Male	GBM	Left Temporo Occipital
8	45	Male	LGG	Pineal
9	36	Male	LGG	Frontal parasagittal
10	23	Male	LGG	Left frontal cortical
11	43	Male	HGG	Right temporo frontal
12	50	Female	HGG	Left parietal

many) equipped with the standard 32 channel head coil.

For extracting anatomical details of the brain structure, we applied conventional MR imaging modalities for each patient as follow: a) a three-dimensional (3D) magnetization prepared rapid acquisition gradient echo (MPRAGE) sequence (TR/TE 1800/3.4 ms, 1 mm isotropic matrix, 256×256 mm FOV, 176 slices), and b) an axial fluid-attenuated inversion recovery (FLAIR) sequence (TR/TE 8000/104 ms, 3 mm slices).

Diffusion MRI consisted of diffusionweighted echo-planar imaging (EPI) pulse sequences. For our purpose the following parameters were set: TR/TE 9600/101 ms, 128×128 matrix size, 240×240 mm² the field of view (FOV), 2 mm slice thickness, 1502 Hz/pixel bandwidth. Sixty-eight slices with no intersectional gaps and an isotropic voxel size of 2×2×2 mm³ were acquired. Diffusion gradient encoding vectors were in 30 and 64 directions for b=1000 smm⁻², and b=2000 smm⁻², respectively. Additionally, two b=0 smm⁻² (no diffusion gradient) images were acquired at the start of diffusion encoding gradients. The sequence design was based on balanced diffusion gradients in order to minimize eddy current artifacts. The patients' heads were fixed in a headrest to minimize artifacts secondary to the unavoidable motion. Figure 2 shows some typical MR images related to subject 1 among patients.

Preprocessing of diffusion MRI images

After data acquisition, the data sets were preprocessed according to the block diagram shown in Figure 3. Eddy current artifact and patient head motion of DWI data were corrected using the FSL software [22]. The nonlocal-means algorithm [23] was applied for DWI data noise effects reduction and finally DTI and NODDI models were fitted on the corrected data. For working through a unique spatial space all structural data were registered on b0 images.

Data Analysis DTI Data Analysis

Applying Dipy software, the diffusion tensor model was fitted to the data for each voxel of the data using nonlinear least square fitting [24]. After the calculation of principal eigenvalues (λ_1 , λ_2 , λ_3) 4 maps corresponding to







Figure 3: DWI data analysis pipeline and utilized softwares.

parameters of DTI model, i.e. fractional anisotropy (FA), mean diffusivity (MD), axial diffusivity (AD) and radial diffusivity(RD) were extracted for all patients. FA, MD, AD, and RD maps are related to eigenvalues as follow:

$$FA = \sqrt{\frac{1}{2} \frac{(e_1 - e_2)^2 + (e_2 - e_3)^2 + (e_3 - e_1)^2}{(e_1^2 + e_1^2 + e_1^2)}} \quad (1)$$
$$MD = \frac{e_1 + e_2 + e_3}{3} \quad (2)$$

$$AD = e_1 \tag{3}$$

$$RD = \frac{e_1 + e_2}{2} \tag{4}$$

Figure 4 shows an axial view of the extracted quantitative maps from DTI model for patient #1.

NODDI Data Analysis

After two decades from the introduction of diffusion models for living tissues, many different multi-compartments models have been presented, i.e. ball and sticks model, CHARMED model, AxCaliber and many more [25-27]. Among multi-compartment models a model with the name NODDI has been presented and noticed more in recent years [16]. NODDI model assumes that the acquired diffusion signal is composed of three different compartments: intracellular, extracellular and CSF compartments. The mathematical equation for describing NODDI model is as follow: $\boldsymbol{S} = f_{iso}\boldsymbol{S}_{iso} + (1-f_{iso})(f_{ic}\boldsymbol{S}_{ic} + (1-f_{ic})\boldsymbol{S}_{ec})$ (5)

in which f_{iso} , f_{ic} are CSF and the intracellular volume fraction of signals and S_{ic} , S_{ec} and S_{iso} are intracellular, extracellular and CSF signals, respectively. Figure 5 demonstrates different parameter contrasts estimated from NODDI model for subject #1. As we can see, the variation of contrasts with respect to DTI contrasts is more obvious.

ROI selection

Considering anatomical and structural MR images, T1-, T2-weighted and Flair, a radiologist expert with more than 15-years experiences drew three different ROIs for peritumoral, normal and tumoral areas of brain white matters. For this work, applying itk-snap software [28], an ROI was drawn on a part of brain tissue occupied with edema so that it encompassed some parts of white matter tissue of the brain. A typical ROI selection for the dataset related to subject #1 has been shown in Figure 6. Normal ROI was drawn in a contralateral







Figure 5: Maps extracted from NODDI model. Above maps from left to right: ficvf, fiso, odi, kappa from a coronal view. Lower maps are like upper row unless they are from a coronal view.

area hemisphere of the brain and it was drawn as same as possible to the edematous area. For tumoral area, the ROI was selected near the core and massive part on the tumor. Because all anatomic images were registered to DWI images, the same ROIs were applied so as to extract the scalar quantities of all DTI an NODDI maps. Finally, after applying ROIs on the maps and getting average, eight different quantities were extracted from each ROI for all patients. Scalar values calculated for each selected ROI were inserted into Table 2 and Table 3 for DTI and NODDI models, respectively.

Statistical Analysis

We applied nonparametric statistical tests for

assessing the differentiability of 3 groups of tissues. On the other hand, comparison should be made among more than two groups. Hence, in the first stage we used Kruskal-Wallis test which corresponds to one-way ANOVAs test [29]. The level of significance was considered to be 0.05. We applied Kruskal-Wallis on four variables extracted from DTI model and four variables extracted from NODDI model. In the second stage of statistical analysis, we used Mann-Whitney U test as our post-hoc test for a pair test between each group of variables. All statistical analyses were done using SPSS version 24 for windows OS.

Results

In this section, the statistical analysis results



Figure 6: Coronal view of conventional MR images for subject 1. From left to right: T1, T1-post contrast, Flair and T2 with overlaid ROIs. An edematous portion of left corticospinal tract (CST) in red and its normal corresponding on right side in green was drawn as edematous and normal ROIs.

Kruskal-Wallis Test/ Mann-Whitney Test	FA (0.001)	MD(0.001)	AD(0.001)	RD(0.001)
Edematuas vs Normal	0.005	0.001	0.001	0.001
Edematous vs Tumoral	0.001	0.2	0.87	0.09
Normal vs Tumoral	0.001	0.001	0.007	0.001

Table 2: Statistical analysis results for DTI model parameters.

Table 3: non-parametric statistical analysis for NODDI model parameters.

Kruskal-Wallis Test/ Mann-Whitney Test	f _{icvf} (0.001)	f _{iso} (0.02)	odi(0.004)	kappa(0.008)
Edematoas vs Normal	0.001	0.158	0.01	0.17
Edematoas vs Tumoral	0.97	0.082	0.003	0.004
Normal vs Tumoral	0.001	0.014	0.22	0.45

from DTI and NODDI model as explained in the previous sections are represented in Tables 2 and Table 3.

DTI model statistical results

Here statistical analysis results regarding to DTI model parameters will be described in more details. As we can see from the first row of Table 2, four extracted DTI model variables, FA, MD, AD and RD have passed Kruskal-Wallis test, therefore, we can keep on pairwise comparison for our three groups. According to pairwise Mann-Whitney U test, FA can discriminate all groups from each other. FA capability for separating the normal group from the edematous group in comparison to other pairwise comparisons is weaker (p-value = 0.005 versus p-value = 0.001). As it is clear from the third row of the same table, the differentiation of edematous from tumoral group is more challenging. As we can see among four DTI variables only FA and RD can be distinguished between tumoral and edematous areas.

NODDI model statistical results

The statistical analysis for quantified DWI signals using NODDI model is represented in Table 3. It is clear from first table that unless f_{iso} parameter that has a relative low sig-

nificance with respect to others, the three other variables extracted from NODDI model have passed Kruskal-Wallis statistical test with a high score (p<0.01). Among other parameters, f_{icvf} can distinguish the normal class from both other edematous and tumoral classes with p-value = 0.001. On the other hand, *odi* and kappa parameters can separate edematous and tumoral groups from each other with p=0.003 and p = 0.004 respectively, and finally f_{iso} map is a good contrast for separating tumoral from the normal region.

Discussion

The effects of tumor on brain white matter are not distinguishable using conventional MRI (T1, T2, Flair), also those images do not provide any information about directionality and orientations of fiber bundles. Presurgical functional MRI and intraoperative electrical stimulations could help to the identification of eloquent regions of gray matter, but they do not give any information about white matter. A more complete presurgical planning requires some data about the white matter fiber bundles that surround the lesion. Awareness about the spatial relation between tumoral area and fiber tracts can help neurosurgeon to retain the functional relations of the brain more precisely.

An outburst and developing of tumoral cells

by altering the micro structural organization of the brain that lead to tissue alteration change the anatomy of the brain. One of these physiologic changes around the tumoral environment is a change of water content in parenchyma of the brain variation of velocity and the direction of water molecules diffusion. From the other hand, conventional MRI underestimates the tumoral area, thus applying more advanced imaging methods is necessary. Applications of diffusion MRI for clinical goals are one of those more advanced strategies. There are many studies to assess brain tumors using diffusion MRI.

In this study, using a 3T scanner we acquired a two shell diffusion dataset from 12 patients with brain tumors to explore if we can distinguish among tumoral, normal and edematous areas within white matter or not. Twoshell data set involves 30 and 64 volumes of brain corresponding to b-values of 1000 and 2000, respectively. For modeling the acquired data, we used the well-known and most common DTI model and a more advanced model known as NODDI.

All the parameters extracted from DTI model, in the edematous ROIs of white matters were significantly different from corresponding areas in the normal areas within the other hemisphere. The reduction of FA in the edematous and tumoral areas is due to activities of tumoral cells that affect the geometrical microstructure of the tissues within and around them. Indeed, with increasing the amount of water and changing the size of intracellular and extracellular spaces around the fiber bundles could alter the diffusivity constants in all directions. A comparison between AD and RD quantities in the edematous areas with corresponding values in the normal regions shows that an increase in the diffusivity in both directions (axial and perpendicular to the axons) is explicit but for RD this growth is about two times more than healthy parts. These findings are compatible with the reports presented by [30].

The estimated mathematical relationship among FA, MD, AD, and RD satisfies the statistical analysis results. The large difference between the growth rate of AD and RD in edematous areas with respect to healthy parts of white matter refers to this note that the diffusion alterations are not isotropic, the point that has been expressed clearly in a paper titled "Is the swelling in brain edema isotropic or anisotropic?" [31]

In comparison to DTI model, extracted maps from NODDI model from the variability and information enrichment point of view are more exhaustive. This variability of NODDI model for distinguishing among edematous, normal and tumoral groups is originated from the intrinsic difference between two models. NODDI model with a more complicated mathematical model could prepare some output parameters with fewer redundancies. On the other hand, there is not a closed form mathematical relationship among different parameters extracted from NODDI model.

Among all eight parameters extracted from DTI and NODDI models, only 2 parameters could distinguish between edematous and normal as well as edematous and tumoral areas. FA extracted from DTI and ODI from NOD-DI. Proliferation of tumoral cells within and around fiber tracts reduces their parallelism, convergence and regulation and this factor is the main cause for odi alteration. The reduction of extracellular space is another factor that affects odi values. MD could separate the normal group from two other ones but could not distinguish between edematous and tumoral areas; it means that water volume and its diffusivity factors have increased in those two areas.

As we know diffusion weighted imaging is capable to detect micro structural characteristics of brain neuronal tissues. Indeed, because the semi-cylindrical shape of white matter fibers, diffusion of water is not isotropic for white matter bundles, therefore, within the high cellularity environments we expect to see

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higher FAs and lower MDs with respect to areas with lower cellular densities and concentrations. One limitation of this study is that we did not consider distinguishing tumoral areas in details, the areas with proliferating, necrosis and affected by invasion from one hand and the separation of edematous from infiltrative regions from the other hand are some problems that could be explored in future works. Applying classification methods for clustering and segmenting different parts of glioma is in our next future list of works. Another model that we can work on using our multi-shell data is diffusion kurtosis imaging (DKI) [32].

Conclusion

In this work, we evaluated two different models to separate normal apparent, edematous and tumoral white matters of brain. Each model has its specific limitations and advantages. One of most interested applications of DTI model is tractography of fiber tracts for the 3D visualization of fiber bundles paths. Application of other quantized parameters like odi extracted from NODDI model instead of FA map as a stopping parameter in the tractography algorithms could be explored in the future works.

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Conflict of Interest

None

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